



Commonwealth of Massachusetts  
Executive Office of Energy & Environmental Affairs

## Department of Environmental Protection

One Winter Street Boston, MA 02108 • 617-292-5500

DEVAL L. PATRICK  
Governor

RICHARD K. SULLIVAN JR.  
Secretary

KENNETH L. KIMMELL  
Commissioner

### Grant Application Cover sheet

Control # 95

The grant named herein has been reviewed and found acceptable for submission by the bureau's assistant commissioner.

Grant Name: FFY13-FY14 Region 01 Wetland Program Development Grants

Federal I.D.# EPA-REG-13-14

Amount: \$ 419,523

State I.D. #: 2230-9702

Assistant Commissioner

6/11/13  
Date

#### For Administrative Use Only

Reviewed by: Patricia Lee

6/11/2013  
Date

Approved by: Joseph Pule

6/12/2013  
Date

Approved by: Brian B. Wawrzyn  
Director, Division of Fiscal Management

6/12/13  
Date

Distributed to: (1) Joint Legislative Review

6/12/13  
Date

(2) EPA

\app

Date

		PROPOSED	AVAILABLE	MAXIMUM EXPENDITURE PER SUB		
DESCRIPTION		GRANT	FUNDS	SALARIES	CONTRACTS	OTHER
1.00		DIRECT SALARIES		0		
	OTHER	CONTRACTUAL- ISA's	99,000		0	
	03's	COMPETITIVE PROJECTS				
		CONTRACTUAL-INDIVIDUALS	269,660		0	
		SUPPLIES	14,000			
		EQUIPMENT				
		TRAVEL/TRAINING	8,000			
		PRINTING/POSTAGE				
0.3128		FRINGE BENEFITS @ .3832		0		
0.1212		STATE IND COSTS @ .1212		0		
0.0037	OTHER	UNEMPLOYMENT @ .0037				
0.0147	OTHER	MEDICARE @ .0147		0	0	
0.0007	OTHER	UNIV HEALTH @ .0007		0	0	
	OTHER	SINGLE AUDIT CHARGES				
		SURPLUS/-DEFICIT		0		0
		TOTAL DIRECT	390,660	0	0	0
0.2064		DEP INDIRECT @ 20.64%	28,863	0	0	0
		TOTAL INDIRECT	28,863	0	0	0
1.6595		TOTALS	419,523	0	0	0

STATE MATCH: 139,841

[illegible]

Application for Federal Assistance SF-424

\* 1. Type of Submission:

- ☐ Preapplication  
☒ Application  
☐ Changed/Corrected Application

\* 2. Type of Application:

- ☒ New  
☐ Continuation  
☐ Revision

\* If Revision, select appropriate letter(s):

\* Other (Specify)

\* 3. Date Received:

4. Applicant Identifier:

5a. Federal Entity Identifier:

\* 5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

\* a. Legal Name: Massachusetts Department of Environmental Protection

\* b. Employer/Taxpayer Identification Number (EIN/TIN):

04-6002284

\* c. Organizational DUNS:

008749355 GRAN

d. Address:

\* Street1:

One Winter Street

Street2:

5th Floor

\* City:

Boston

County:

\* State:

MA

Province:

\* Country:

USA: UNITED STATES

\* Zip / Postal Code:

02108

e. Organizational Unit:

Department Name:

Bureau of Resource Protection

Division Name:

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

Ms

\* First Name:

Lisa

Middle Name:

\* Last Name:

Rhodes

Suffix:

Title:

Organizational Affiliation:

\* Telephone Number:

617 292-5512

Fax Number:

\* Email:

Lisa.Rhodes@state.ma.us

**Application for Federal Assistance SF-424**

**9. Type of Applicant 1: Select Applicant Type:**

State Government

**Type of Applicant 2: Select Applicant Type:**

**Type of Applicant 3: Select Applicant Type:**

**\* Other (specify):**

**\* 10. Name of Federal Agency:**

U.S. Environmental Protection Agency

**11. Catalog of Federal Domestic Assistance Number:**

66.461

**CFDA Title:**

Regional Wetland Program Development Grants

**\* 12. Funding Opportunity Number:**

EPA-REG1-13-14

**\* Title:**

FY13 and FY14 Region 01 Wetland Program Development Grant

**13. Competition Identification Number:**

NONE

**Title:**

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

Statewide - Massachusetts

**\* 15. Descriptive Title of Applicant's Project:**

Pilot Chicopee Watershed Wetland Assessment; Climate Change Adaptation Strategy; and Wetland Restoration / Vulnerable Wetlands Outreach

Attach supporting documents as specified in agency instructions.

**Application for Federal Assistance SF-424****16. Congressional Districts Of:**

\* a. Applicant All

\* b. Program/Project All

Attach an additional list of Program/Project Congressional Districts if needed.

**17. Proposed Project:**

\* a. Start Date: 1/1/2014

\* b. End Date: 12/31/2015

**18. Estimated Funding (\$):**

\* a. Federal 419,523

\* b. Applicant 139,841

\* c. State

\* d. Local

\* e. Other

\* f. Program Income

\* g. TOTAL 559,364

**\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**☐ a. This application was made available to the State under the Executive Order 12372 Process for review on☒ b. Program is subject to E.O. 12372 but has not been selected by the State for review.☐ c. Program is not covered by E.O. 12372.**\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.) Applicant Federal Debt Delinquency Explanation**☐ Yes☒ No

21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

☒ \*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

**Authorized Representative:**

Prefix:

Mr.

\* First Name:

Kenneth

Middle Name:

L.

\* Last Name:

Kimmell

Suffix:

\* Title:

Commissioner

\* Telephone Number:

617-292-5856

Fax Number:

\* Email:

Ken.Kimmell@state.ma.us

\* Signature of Authorized Representative:

Bryan B. Warriza

\* Date Signed:

6/12/13

## ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

- |  |   |
|--|---|
| <ol style="list-style-type: none"> <li>1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.</li> <li>2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.</li> <li>3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.</li> <li>4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.</li> <li>5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. 4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).</li> <li>6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. 1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794), which prohibits discrimination on the</li> </ol> | <ol style="list-style-type: none"> <li>basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. 6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. 290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VII of the Civil Rights Act of 1968 (42 U.S.C. 3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.</li> <li>7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.</li> <li>8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. 1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.</li> </ol> |
|--|---|

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. 276a to 276a-7), the Copeland Act (40 U.S.C. 276c and 18 U.S.C. 874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. 327-333), regarding labor standards for federally-assisted construction subagreement.

10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.

11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in flood plains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. 1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. 7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).

12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. 1271 et seq.) Related to protecting components or potential components of the national wild and scenic rivers system.

13. Will assist the awarding agency in assuring compliance will Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. 470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. 469a-1 et seq.).

14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.

15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. 2131 et seq.) Pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.

16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. 4801 et seq.) Which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.

17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."

18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL

*Bawa B. Wawer*

TITLE

*for*  
Kenneth Kimmell, Commissioner

APPLICANT ORGANIZATION

MA Dept of Environmental Protection

DATE SUBMITTED

*6/12/13*



## BUDGET INFORMATION - Non-Construction Programs

SECTION A - BUDGET SUMMARY						
Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. WPDG	66.461	\$	\$	\$ 419,523	\$	\$ 419,523
2. State Match					139,841	139,841
3.						
4.						
5. Totals		\$	\$	\$ 419,523	\$ 139,841	\$ 559,364
SECTION B - BUDGET CATEGORIES						
Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY					Total (5)
	(1)	(2)	(3)	(4)	(5)	
a. Personnel		139,841				139,841
b. Fringe Benefits						
c. Travel	8,000					8,000
d. Equipment						
e. Supplies	14,000					14,000
f. Contractual	269,660					269,660
g. Construction						
h. Other	99,000					99,000
i. Total Direct Charges (sum of 6a-6h)	390,660					390,660
j. Indirect Charges	28,863	<--- DEP				28,863
k. TOTALS (sum of 6i and 6j)	\$ 419,523	\$ 139,841	\$	\$	\$	\$ 559,364
7. Program Income	\$	\$	\$	\$	\$	\$

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Standard Form 424A (Rev 4-2012)  
Prescribed by OMB Circular A-102

SECTION C - NON-FEDERAL RESOURCES					
(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS	
8. State Match	139,841			\$139,841	
9.				\$	
10.				\$	
11.				\$	
12. Total (SUM OF LINES 8-11)	139,841			\$139,841	
SECTION D - FORECASTED CASH NEEDS					
	Total for 1 <sup>st</sup> Year	1 <sup>st</sup> Quarter	2 <sup>nd</sup> Quarter	3 <sup>rd</sup> Quarter	4 <sup>th</sup> Quarter
13. Federal	\$209,762	\$52,441	\$52,441	\$52,441	\$52,439
14. Non-Federal	69,921	17,480	17,480	17,480	17,481
15. TOTAL (sum of lines 13 and 14)	\$279,683	\$69,921	\$69,921	\$69,921	\$69,920
SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT					
(a) Grant Program	FUTURE FUNDING PERIODS (years)				
	(b) First	(c) Second	(d) Third	(e) Fourth	
16. WPDG	\$ 209,761	\$	\$	\$	
17. STATE MATCH	69,920				
18.					
19.					
20. TOTAL (sum of lines 16-19)	\$ 279,681	\$	\$	\$	
SECTION F - OTHER BUDGET INFORMATION					
21. Direct Charges: - sub awards 99,000		22. Indirect Charges: State: salaries + fringe X 12.12%			
23. Remarks:		DEP - salaries + fringe X 20.64%			

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